

TTB POST INVESTIGATION VISIT

Received call from Inspector Wukowitch and then this email.

Please see attached letter with further details regarding the scheduling of an upcoming post application investigation. If you could respond with a confirmation of the requested date and time it would be greatly appreciated. If this is not a feasible date or adequate notice please provide a reasonable alternate time/date.

In the meantime, I may also send you other documents on file with TTB that I will need you to confirm, along with gathering all documentation listed in the attached.

**DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU
8002 FEDERAL OFFICE BUILDING 550 MAIN STREET CINCINNATI, OH 45202**

The Alcohol and Tobacco Tax and Trade Bureau plans to conduct a post application investigation related to your application for operating a wine premises and the above referenced permit number. Sometime on or around 08/06/19 at 11am I and another TTB Investigator would like to meet at your place of business to complete the field work involved in this investigation.

The purpose of this is to review, and determine whether Bailey's Run Vineyard is operating consistent with the approved application for a winery Basic Permit. Also, to insure you are operating in compliance with Federal laws and regulations related to the production of wine, reporting wine activity, and payment of excise taxes. To accomplish this objective, I intend to review all phases of production, warehousing, bottling, and shipping at the above-referenced location to determine whether they are adequate to provide reasonable assurance against material misstatements in reporting wine activity and excise tax liability.

Under the authority of Title 26 U.S.C. §5367 and §5362 and 27 CFR §24.35, I am requesting that you have available accounting records and reports, operating source documents, and other data relevant to the completion of daily records, operating reports and preparation of excise tax returns.

If you have any questions, please call me at (202) 309-0478.

Sincerely, Mike Wukowitch Investigator Mountain District Office



Michael Wukowitch, Investigator
Alcohol & Tobacco Tax and Trade Bureau
Mountain District
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Here is what they were looking for:

- ✓ COLAS
- ✓ Formulas
- ✓ Returns filed
- ✓ Batch Records
- ✓ Source Records
- ✓ Product Invoices
- ✓ Inventory Report

They also asked for:

- Copy of your local license if WI requires you to have one
- Business license from the state of WI?
- Also, please review the original lease on file with TTB to ensure accuracy.

ONSITE VISIT

- General walk-around in and outside of building, took pictures
- Look through Production & Storage areas, took pictures
- Took pictures of tanks, barrels, bottling equipment
- Took pictures of pallets, cases of wine
- All tanks, vessels should be numbered
- Cased wine boxes must be numbered
- Wanted copies of all documents
- Asked very general questions about wine making
- Reviewed Report of Wine Premise form
- Asked about Transfer In Bond records. Need other parties name, address & permit #
 - Need paper records of transfer with details
- Reviewed Amount Produced & Taxes paid